Vehicle Defect IMPACT Analysis

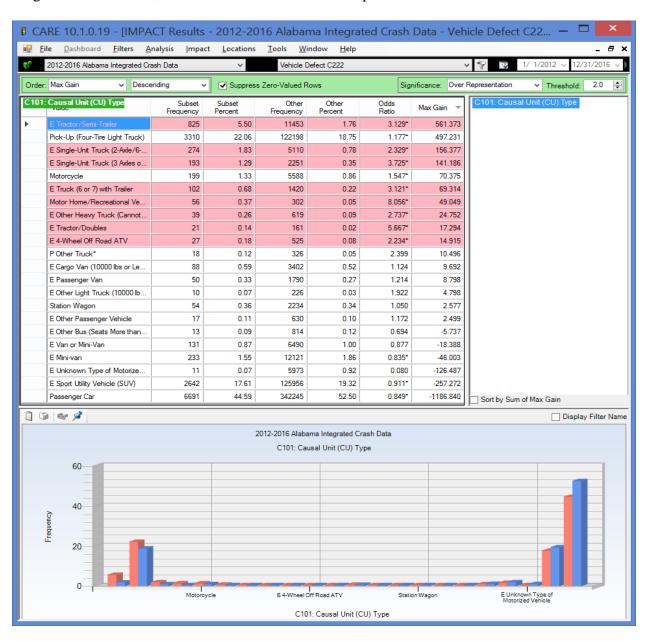
David B. Brown University of Alabama Center for Advanced Public Safety (CAPS) November 1, 2017

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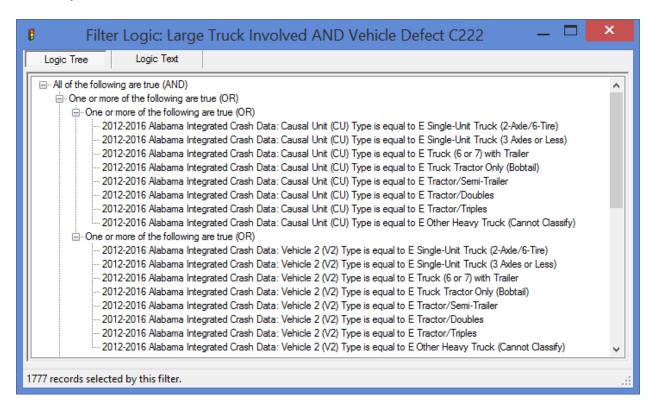
1.0 Introduction: C101 Causal Unit Type

The high level analysis of vehicles in general for vehicle defects showed a high correlation of the defect type and the vehicle type, which is expected since certain defects apply only to trucks. The Causal Unit analysis given immediately below establishes that: (1) the most over-represented vehicles are heavy trucks (as we might expect), but (2) the highest frequency is in the Passenger Cars and SUVs, which are the most UNDER-represented.



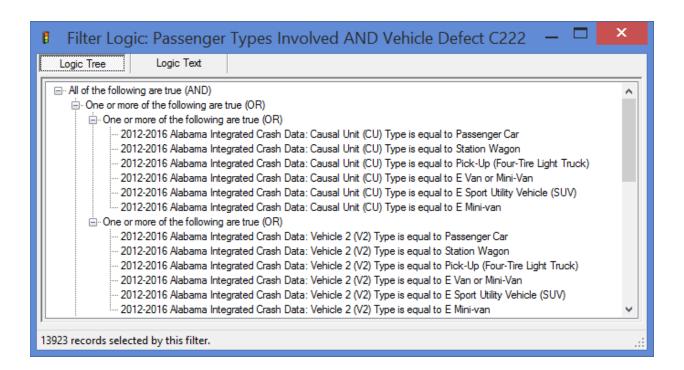
This demonstrates that Passenger Cars and SUVs are getting the high number of vehicle defect crashes not because they have more defects per vehicle, but because of their sheer number on the roadway.

This led to the decision to separate passenger cars from large trucks in this analysis because considering them simultaneously would produce confusing results, with some vehicle defects resulting from the cars and others almost exclusively from the trucks. To solve this problem, two separate runs were performed, where the subdivision is based on C101 – CU Unit Type and C501 Vehicle 2 Type. Specifically, the display below indicates how "large trucks" were defined for this study.



Similarly, the display at the top of the following page shows how "passenger cars" were defined for this study.

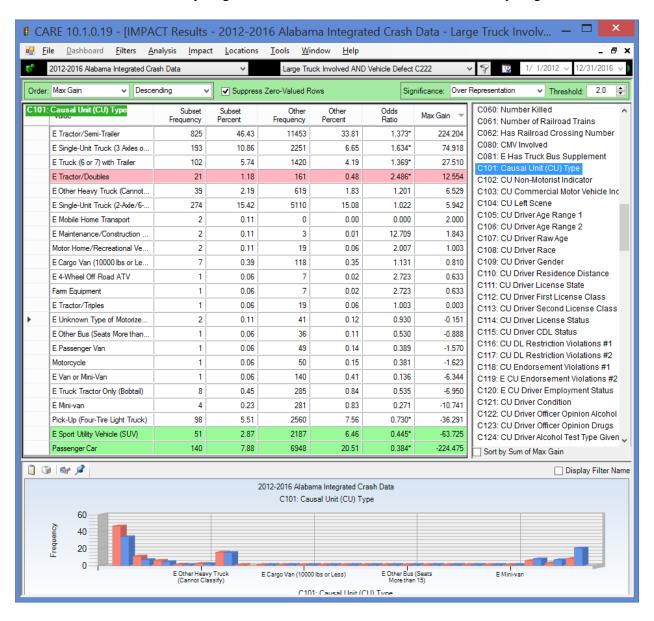
The goal of the two analyses was to determine the other attributes given in the crash report that are correlated with vehicle defects. These are given in the Table of Contents above. Each of the analyses will start out with a summary of the Causal Unit (CU) vehicle defects themselves (C222 CU Contributing Vehicle Defect). This is followed by a number of attributes that were considered to be relevant from the results.



2.0 Large Truck Analysis

2.1 C101 Causal Unit (CU) Type Analysis When Large Truck Involved

This comparison is between vehicles with defects against those without defects, restricted to crashes that involved large trucks (on both sides of the comparison). Most of the two-vehicle crashes involve a passenger car, since truck-truck crashes are rare. The following display indicates the vehicle type for the unit that caused the crash. Large truck involvement is no implication that the truck caused the crash; but since both subsets were constrained to involve trucks, it is reasonable that a relatively large number of the crashes would be caused by large trucks.

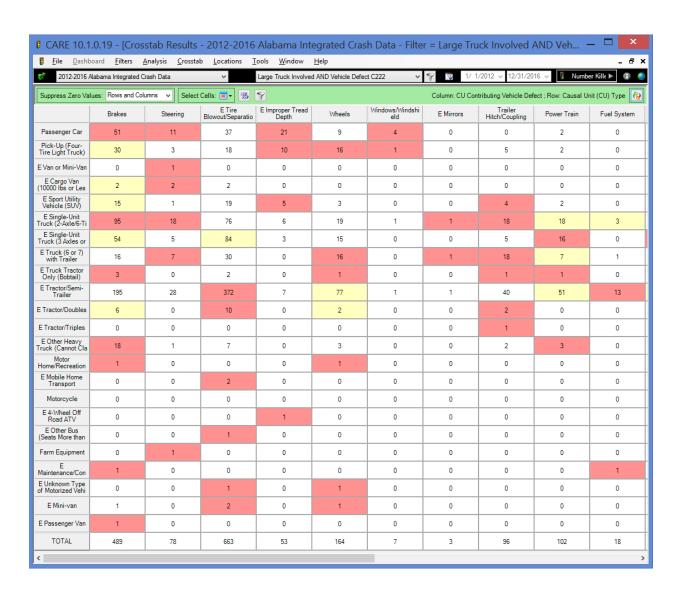


The output above is ordered by Max Gain, which considers both the number of crashes in which the unit was at fault (the first numerical column) and the over-representation (as measured by the Odds Ratio). The Max Gain is the number of crashes that would be eliminate if there was some countermeasure implemented that could eliminate its over-representation. In the first item list, which has a causal frequency of 825 crashes, 224 of these could be eliminated if the effect of vehicle defects was eliminated. This list enables motor-carrier professionals to determine which vehicle types need the greatest emphasis when it comes to reducing their vehicle defects.

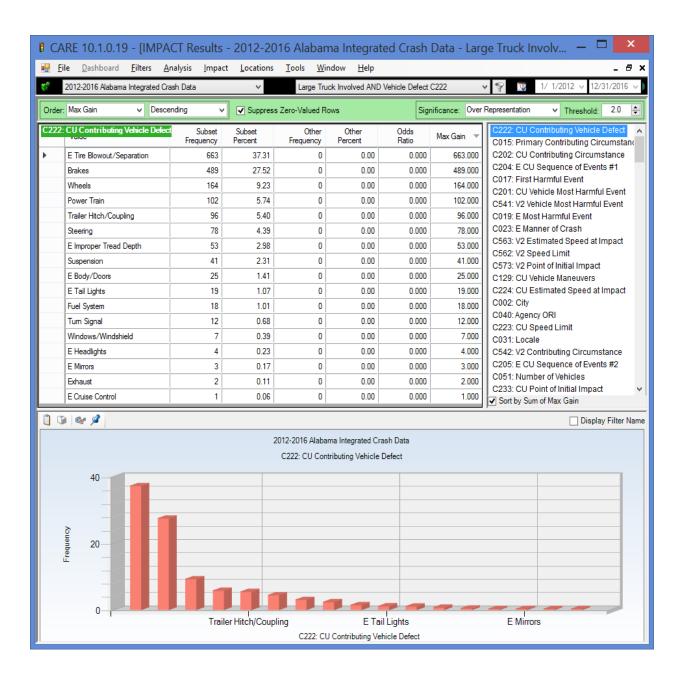
We will continue with the IMPACT results that had the highest total max gains, and also those with the most practical significance.

2.2 C222 CU Contributing Vehicle Defect

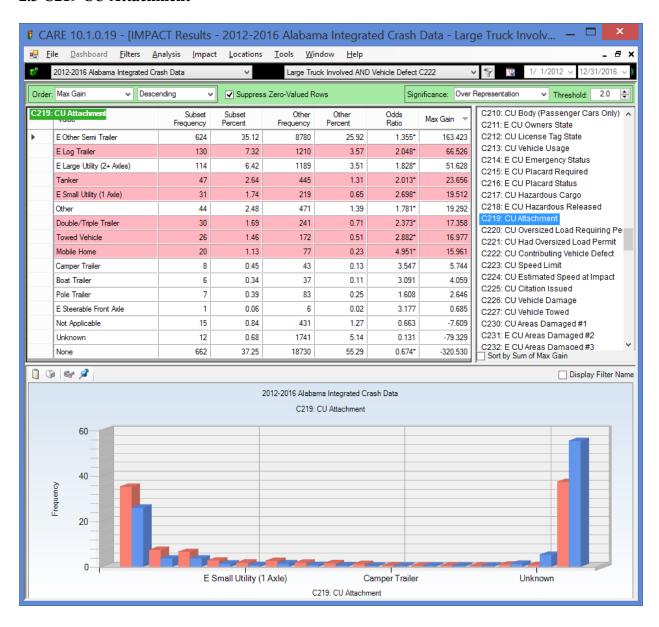
This summary result (top of next page) was not produced to do an IMPACT comparison because the control subset does not have defects, so the control items all came out to be zero. However, this shows what defects this overall large truck analysis is considering; and it answers in general the question of in general, what vehicle defects are being considered in the analysis given above. Further per-vehicle-type analysis are easily obtained by a cross-tabulation of C101 by C222. The following is a partial example of such a cross-tabulation. All of the vehicle types are included, but the vehicle defect types are truncated at Fuel System.



The display below gives the distribution of the vehicle defects that occurred in the vehicles given in the analysis in Section 2.1. The table indicates that Tire Blowout/Separation is the highest frequency, with Brakes, Wheels and Power Train following. Apparently Improper Tread Depth is not as large a problem for large trucks as it is for passenger cars, as we will see in comparing this output with the one given for cars in Section 3.2 below. We expect this is because of the continual inspections given to large trucks by FMCSA and the ALEA Motor Carrier unit.



2.3 C219 CU Attachment

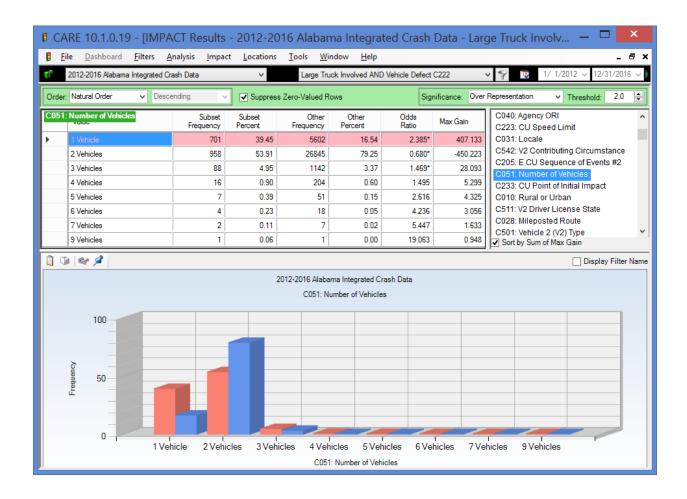


The Attachment display above tends to show the causal vehicle use. The causal vehicle would except in rare cases have a vehicle defect, although it might not be indicated in all crashes as the cause for the crash. The above comparison is against the same types of vehicles but which did not have defects. As an example, log trucks (second vehicle listed) had 7.32% of the defective vehicle crashes, but only 3.57% of the non-defective vehicle crashes, creating an odds ratio of over twice what would be expected (2.048). The Max Gain of 66.526 crashes represents the

number that could be reduced if the over-representation was eliminated (i.e., the Odds Ratio somehow was forced to be 1; reducing the 7.32% to its expected value of 3.57%.

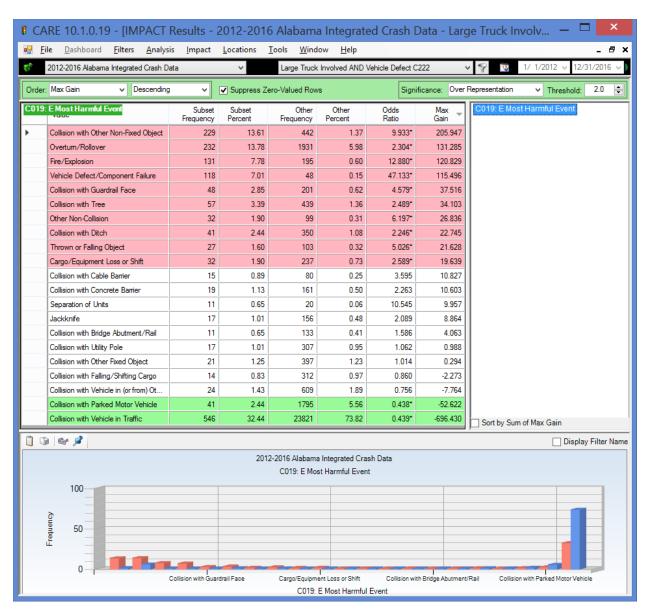
2.4 C051 Number of Vehicles

This attribute plays a large role in many of the attributes discussed in the following sections. Single vehicle crashes are over-represented, as are all multi-vehicle crashes with three or more vehicles. Two vehicle crashes are under-represented with 0.680 of the proportion that occurs in non-vehicle-defect crashes. The Odds Ratio indicates that single vehicle crashes occur over twice their expected proportion. These results are quite similar to those for passenger cars (Section 3.3).



2.5 C019 Most Harmful Event

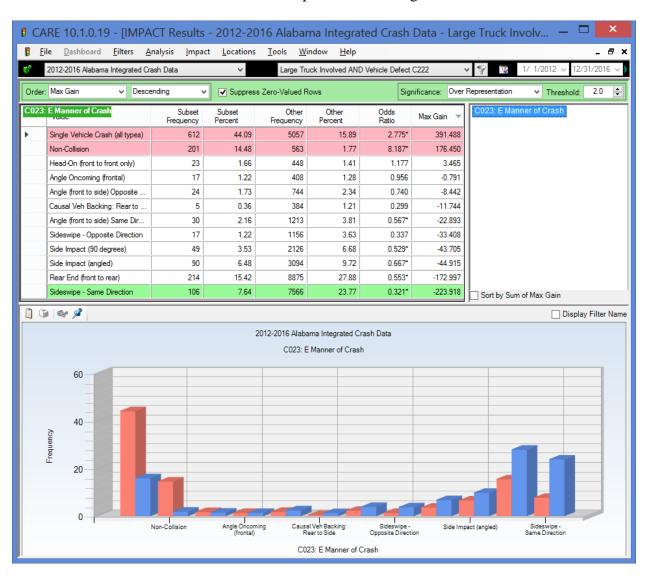
The following was trimmed to exclude all events that had less than ten occurrences.



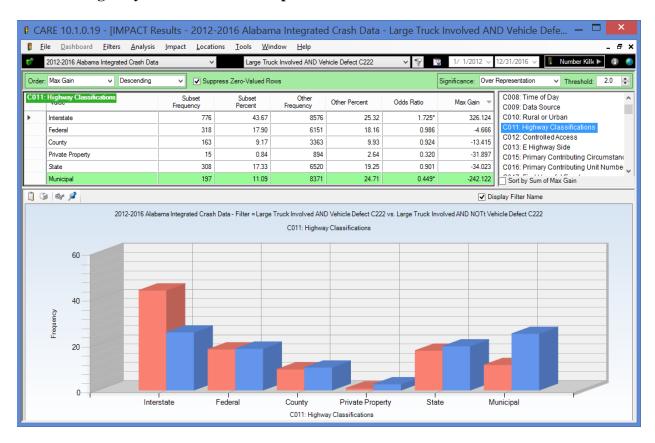
Red in the tabular portion of the output indicates that that Harmful Event had an over-representation of twice its expectation (odds ratio > 2) when compared to crashes for that harmful event that were not caused by a vehicle defects. Clearly there are many harmful events whose probabilities of occurrence are greatly increased by vehicle defects.

2.6 C023 Manner of Crash

For two-vehicle crashes, this gives an idea of how the two vehicles came together. But note that a large plurality of crashes (44.09%) were single vehicle, much higher than expected. The same is true for the non-collisions. An example of this might be where a defective tire caused a vehicle to run off the road and the incident was reported even though no collision resulted.



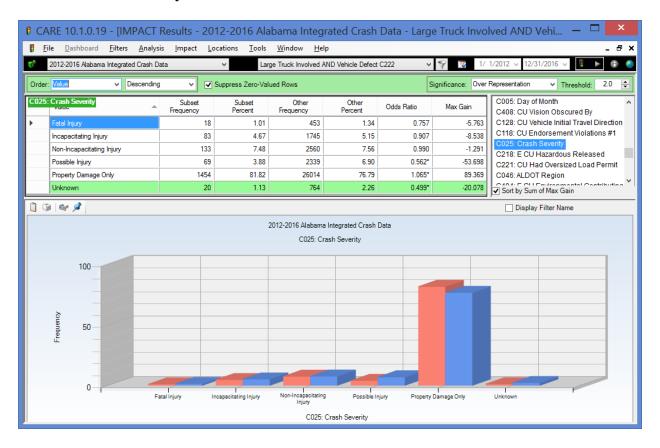
2.7 C011 Highway Classification and Speeds



All other things being equal, it is expected that each Highway Classification will have the same proportion of crashes as the defective vehicle-miles traveled on it over the course of the study. In this case, Interstates probably have over twice the traffic of vehicle-miles (in this case by large trucks) that might have vehicle defects. So the over-representation on Interstate highways is reasonable. Also, tire blowouts tend to occur at higher speeds, as shown in the following cross-tabulation of impact speeds by vehicle defect.



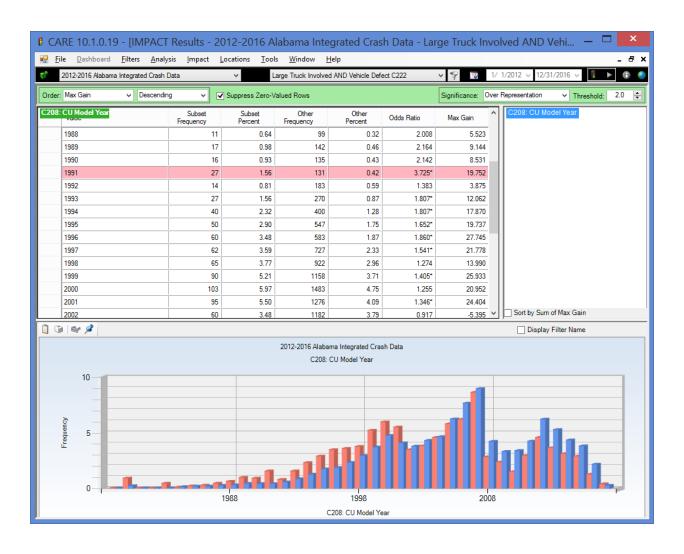
2.8 C025 Crash Severity



Crashes involving large trucks that caused by vehicle defects are much less severe than those caused by other factors. We surmise that this is because the drivers can sense when something is not quite right, and they slow down or stop to address the problem. Even if they only reduce speed in anticipation of a potential problem, this can dramatically lower the severity of the crash. Other studies have shown that the probability of a fatality approximately doubles for every 10 MPH increase in impact speed.

2.9 C208 CU Model Year

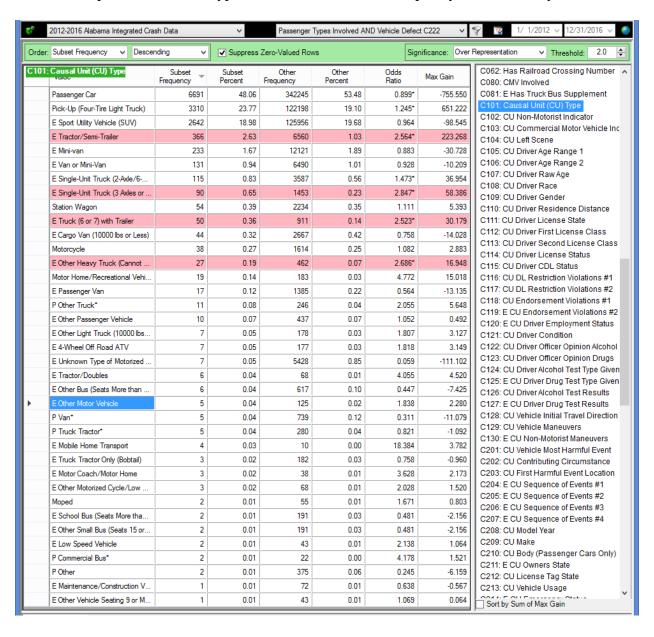
The age of the vehicle would definitely impact its chances for its containing defective components. The model years begin to be significantly over-represented in 1991, and this continues through 2001, after which they become under-represented.



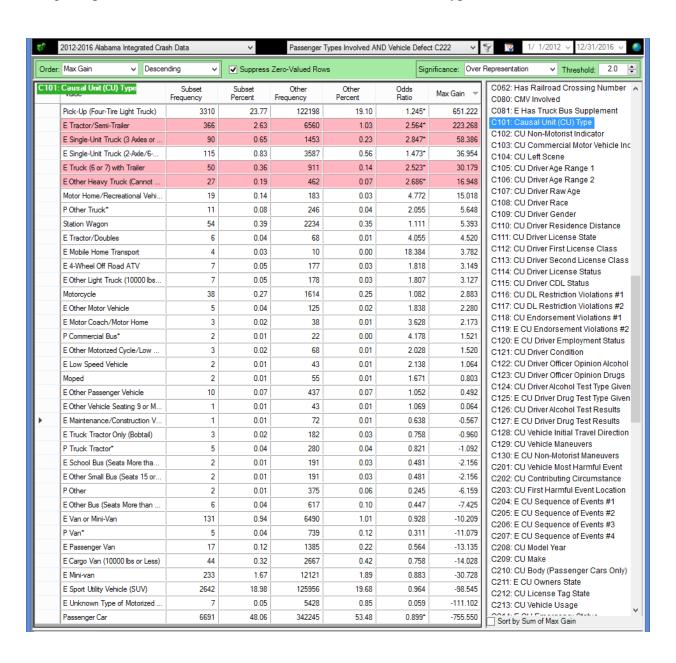
3.0 Passenger Vehicle Analysis

3.1 C101 Causal Unit (CU) for Passenger Vehicles Involved

The filter used to perform this study required that a passenger vehicle was either the Causal Unit or the victim unit (Vehicle 2) in the cases that included two-vehicle crashes. Large trucks were not excluded from consideration, but unlike the analysis above, there was no requirement for the presence of a large truck in the crash. See the Introduction (Section 1.0) above for a formal definition of the particular vehicle type that had to be involved to qualify for these analyses.

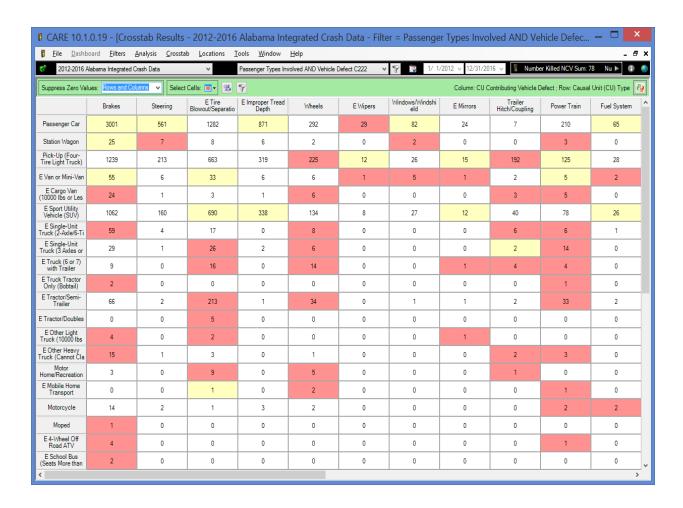


The above was listed out in order of crash frequency, which is useful in determining patterns for causal vehicles. The following is the same analysis, but in order of Max Gain. While pick-up truck have the highest Max Gain, the over-representation leaders for this crash subset are the large trucks despite the fact that they were to some extent excluded from the test subset. Despite their over-representation, however, they account for a relatively small percentage of these crashes – note that the combination of pick-ups and passenger cars adds up to over 10,000 crashes as compared to about 800 in the heavy truck category. The creation of the two subsets being compared had no consideration at all for the causal vehicle type.

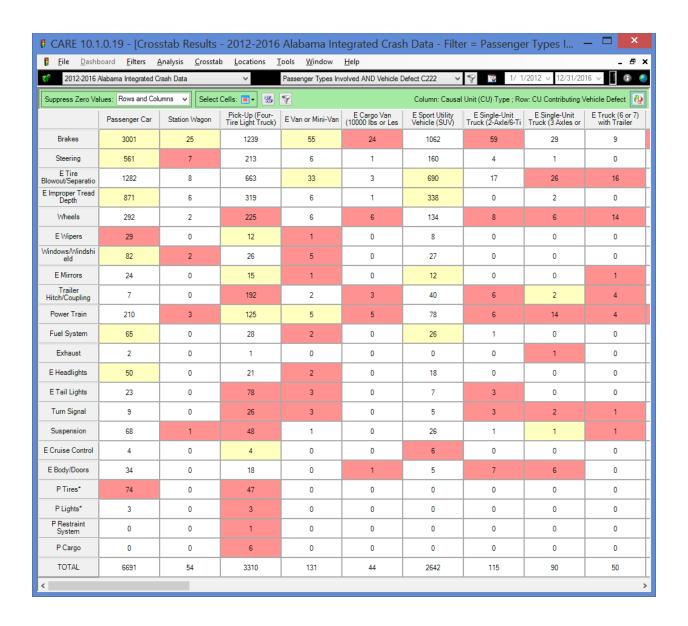


3.2 C222 CU Contributing Vehicle Defect

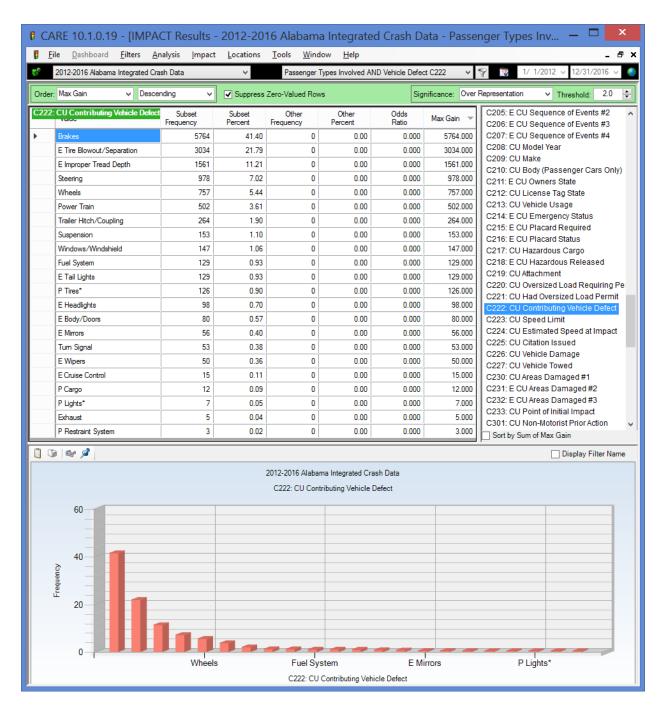
Per-vehicle-type defect analyses are easily obtainable by a cross-tabulation of C101 by C222. The following is a partial example of such an analysis. In this example the vehicle types are truncated at E School Bus, and the vehicle defect types are truncated at Fuel System.



The following is an inversion of the above cross-tabulation, which enables the viewing of all of the vehicle defects for the vehicles that are listed across the top of the display, which are truncated at E Truck (6 or 7) with Trailer.



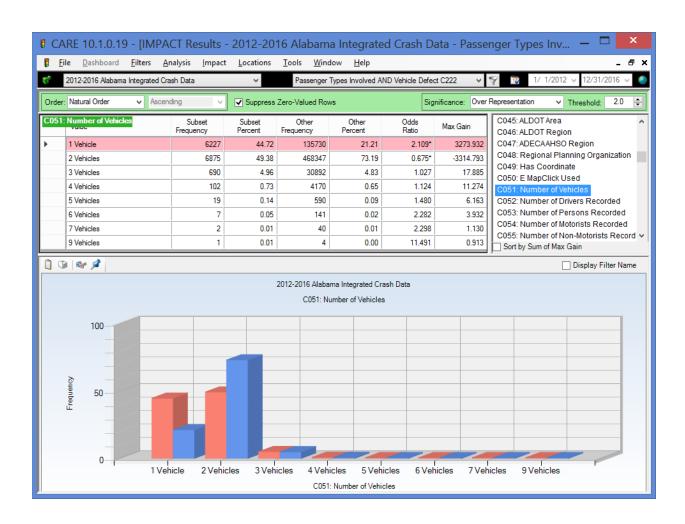
It is clear that brakes and tire defects produce the largest numbers. We will see below that tire problems eclipse the braking issues when it comes to causing fatalities.



The display above gives the distribution of all of the vehicle defects that occurred in the vehicles given in the analysis in Section 3.1. This result was not produced to do an IMPACT comparison because the control subset does not have defects, so the control items all came out to be zero. However, this shows the distribution of the defects that this overall passenger car analysis is considering.

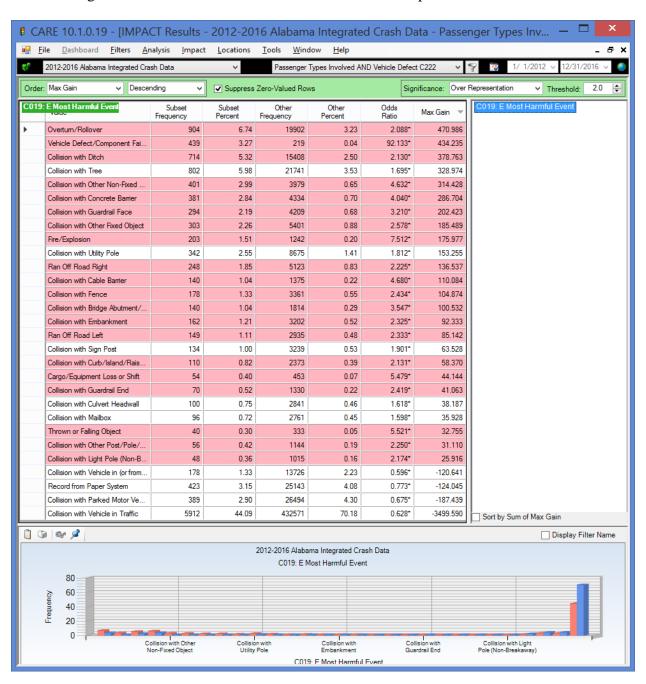
3.3 C051 Number of Vehicles

This attribute plays a large role in many of the attributes discussed below. Single vehicle crashes are over-represented, as are all multi-vehicle crashes with three or more vehicles. Two vehicle crashes are under-represented with 0.675 of the proportion that occurs in non-vehicle-defect crashes. The Odds Ratio indicates that single vehicle crashes occur over twice their expected proportion.



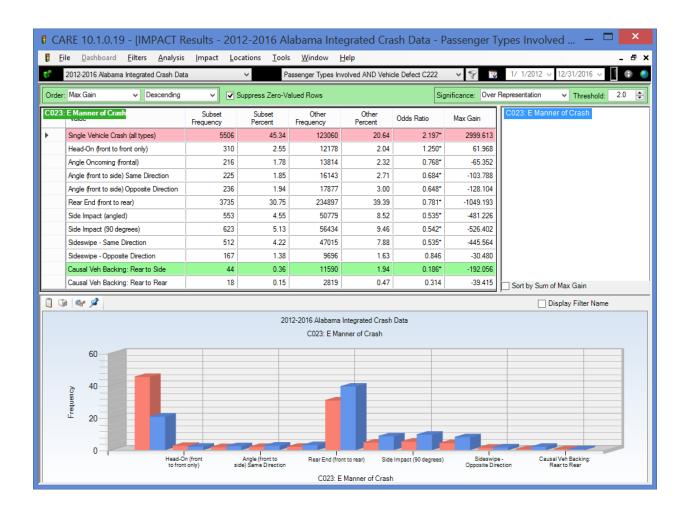
3.3 C019 Most Harmful Event

The following is a listing of Most Harmful Events for those having 40 or more occurrences. The effect of single-vehicle crashes accounts for most of the over-representations.

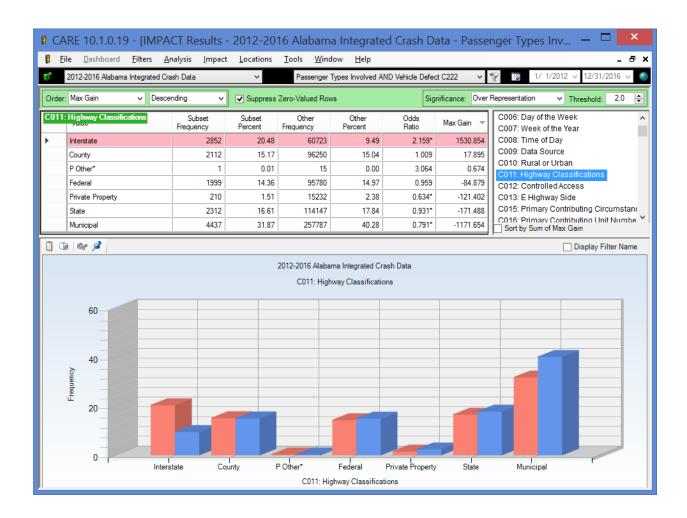


3.5 C023 Manner of Crash

The following presents a summary of the Manner of Crash for passenger car vehicle defect crashes. It gives insight especially into those crashes that did not involve just a single vehicle. Non-descriptive values were removed from this display, including: Other, Non-Collision, Unknown, and Record from Paper System.

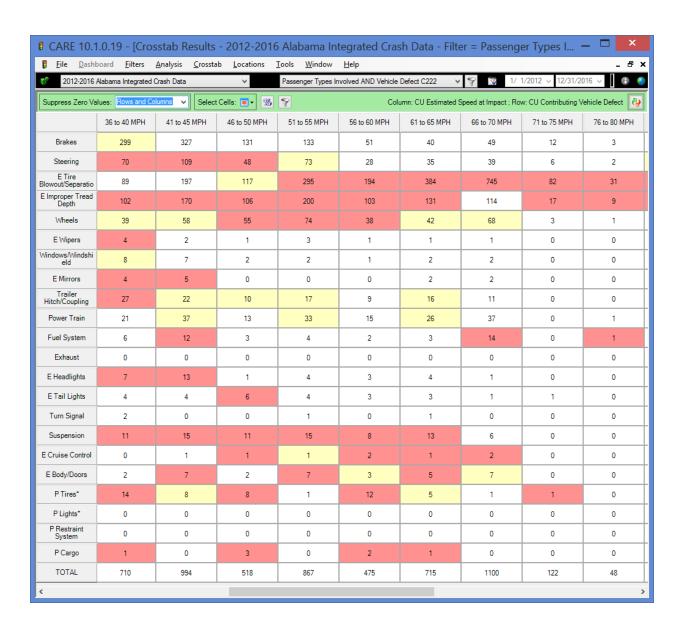


3.6 C011 Highway Classification and Speeds

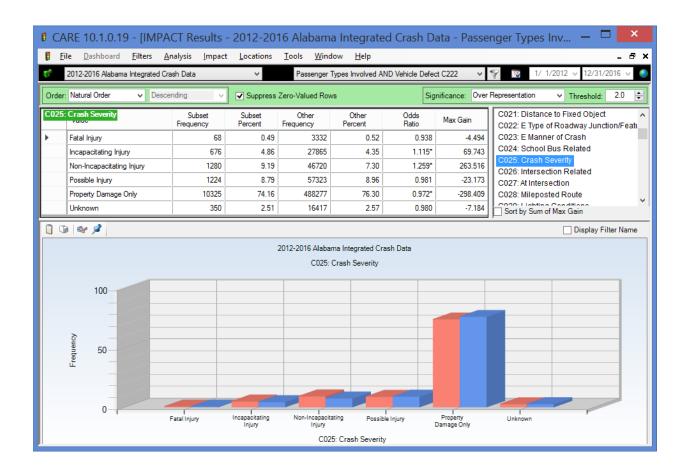


All other things being equal, it is expected that each Highway Classification will have the same proportion of crashes as the defective vehicles on over the course of the study. This distribution varies sharply from that of large trucks, especially in the Municipal road category. While Interstate highways still shows over twice the expected proportion, the proportion of total vehicle defect crashes in Interstate highways is 20.48% for passenger cars, while it was over twice that at 43.67% for large trucks.

Tire blowouts and tread depth issues tend to occur at higher speeds, as shown in the following cross-tabulation of impact speeds by vehicle defect. This accounts for their increased severity, which is covered in the next section.



3.7 C025 Crash Severity

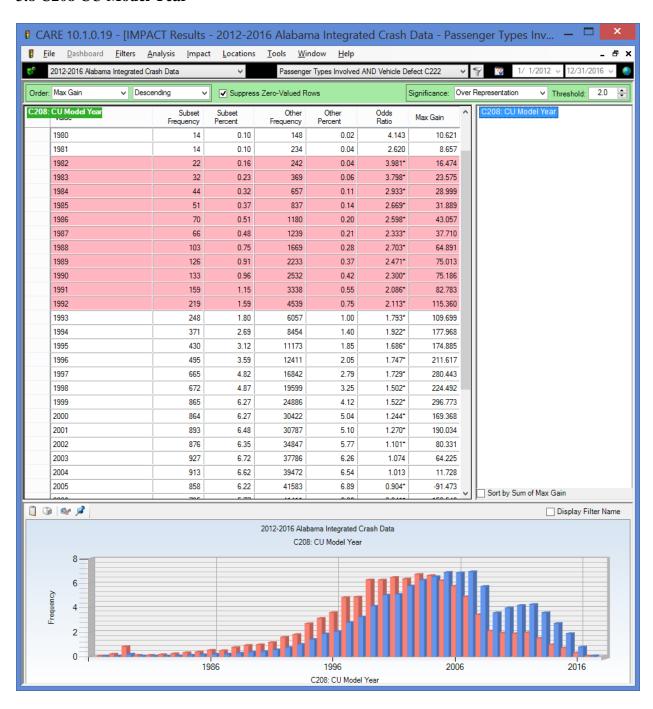


The severities of vehicle defect crashes are not nearly as reduced (comparatively speaking) with the passenger car subset as they were with large trucks. The difference in Fatal Injury is not statistically significant, and the increases in both Incapacitating and Non-Incapacitating injury types are significantly higher in proportion than what is expected from the non-vehicle-defect group. To analyze this attribute further, a cross-tabulation was run that analyzed the various severity levels by the vehicle defect type, as given below.

File <u>D</u> ashbo	oard <u>F</u> ilters	<u>A</u> nalysis <u>C</u> rossta	b <u>L</u> ocations <u>1</u>	ools <u>W</u> indow	<u>H</u> elp			-
2012-2016 A	abama Integrated (Crash Data	~	Passenger Types In	volved AND Vehicle D	efect C222 V	§ 1/ 1/2	2012 ∨ 12/31/2016
Suppress Zero Valu	ues: Rows and Col	lumns ∨ Select	Cells: 🔳 🔻	9		Column: Crash S	everity ; Row: CU Con	tributing Vehicle Defe
	Fatal Injury	Incapacitating Injury	Non- Incapacitating Inju	Possible Injury	Property Damage Only	Unknown	TOTAL	
Brakes	9	172	409	636	4353	185	5764	
	13.24%	25.44%	31.95%	51.96%	42.16%	52.86%	41.40%	
Steering	1 47%	49 7.25%	107	97	689	35	978	
E Ties	1.47%	7.25%	8.36% 349	7.92%	6.67%	10.00%	7.02%	
E Tire Blowout/Separatio	24 35.29%	175 25.89%	27.27%	194 15.85%	2244 21.73%	48 13.71%	3034 21.79%	
E Improper Tread	28	175	202	144	997	15.71%	1561	
Depth	41.18%	25.89%	15.78%	11.76%	9.66%	4.29%	11.21%	
	2	19	63	51	599	23	757	
Wheels	2.94%	2.81%	4.92%	4.17%	5.80%	6.57%	5.44%	
51.6	0	2	4	8	33	3	50	
E Wipers	0.00%	0.30%	0.31%	0.65%	0.32%	0.86%	0.36%	
√indows/Windshi	1	7	15	9	109	6	147	
eld	1.47%	1.04%	1.17%	0.74%	1.06%	1.71%	1.06%	
E Mirrors	0	0	3	4	48	1	56	
	0.00%	0.00%	0.23%	0.33%	0.46%	0.29%	0.40%	
Trailer Hitch/Coupling	1	7	13	12	230	1	264	
	1.47%	1.04%	1.02%	0.98%	2.23%	0.29%	1.90%	
Power Train	0	13	33	26	425	5	502	
, ower right	0.00%	1.92%	2.58%	2.12%	4.12%	1.43%	3.61%	
Fuel System	1	4	9	2	109	4	129	
. 201 0 7 0 10 11	1.47%	0.59%	0.70%	0.16%	1.06%	1.14%	0.93%	
Exhaust	0	0	0	0	5	0	5	
	0.00%	0.00%	0.00%	0.00%	0.05%	0.00%	0.04%	
E Headlights	0	12	12	11	58	5	98	
_	0.00%	1.78%	0.94%	0.90%	0.56%	1.43%	0.70%	
E Tail Lights	1.47%	16 2.37%	22 1.72%	0.65%	78 0.76%	4 1.14%	129 0.93%	
	0	2.37%	1.72%	0.65%	41	1.14%	53	
Turn Signal	0.00%	0.15%	0.63%	0.08%	0.40%	0.57%	0.38%	
	0.00%	2	16	9	122	4	153	
Suspension	0.00%	0.30%	1.25%	0.74%	1.18%	1.14%	1.10%	
	0.00%	3	2	0	8	2	15	
E Cruise Control	0.00%	0.44%	0.16%	0.00%	0.08%	0.57%	0.11%	
5 D 1 / C	0	3	9	5	56	7	80	
E Body/Doors	0.00%	0.44%	0.70%	0.41%	0.54%	2.00%	0.57%	
P Tires*	0	15	4	7	100	0	126	
	0.00%	2.22%	0.31%	0.57%	0.97%	0.00%	0.90%	
Distre	0	1	0	0	6	0	7	
P Lights*	0.00%	0.15%	0.00%	0.00%	0.06%	0.00%	0.05%	
P Restraint	0	0	0	0	3	0	3	
System	0.00%	0.00%	0.00%	0.00%	0.03%	0.00%	0.02%	
P Cargo	0	0	0	0	12	0	12	
, cargo	0.00%	0.00%	0.00%	0.00%	0.12%	0.00%	0.09%	
TOTAL	68	676	1280	1224	10325	350	13923	
	0.49%	4.86%	9.19%	8.79%	74.16%	2.51%	100.00%	

Clearly tire issues are the major factors for both severe injury and deaths. The two tire defects are quite different in the way that they cause crashes. Tire Blowout/Separation is quite intuitive in the way it would cause a loss of control. Improper Tread Depth, however, would usually have other contributing factors, such as a wet road surface, speed, or both to result in the loss of control. Comparing these two, while there are about twice as many Tire Blowout/Separations, the proportion of the most severe injury and fatal crashes are nearly identical. No doubt, tire issues rise to the top concern of passenger car crashes. Brake defects are a distant second priority, albeit with a much higher overall frequency (5,764 for brakes as compared to the tire issues of 3,934 for blowouts and 1,561 for tread depth).

3.8 C208 CU Model Year



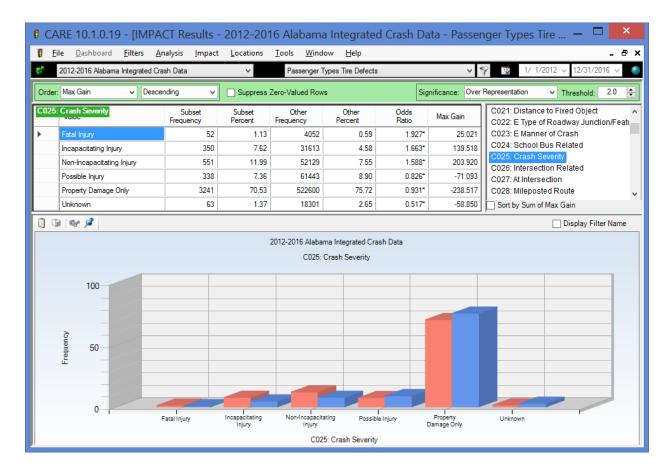
The significant over-represented model years are from 1982 through 2002. It is reasonable to expect that older vehicles would have more problems in this regard.

3.8 Passenger Vehicle Tire Issues Further Analysis

The single vehicle defect highest killer was in tire issues. Over five years there were 3,034 crashes caused by blowouts/tire separation, and 1,561 crashes caused by tread depth issues over the five years of the study (4,595 total for tire issues). While the cross-tabulation in Section 3.7 indicates that tread depth was a slightly higher cause of death with 28, as opposed to blowouts, which has 24, there is no implication that the difference between these two numbers is statistically significant. The 52 tire defect fatal crashes resulted in a total of 60 fatalities (12 fatalities per year).

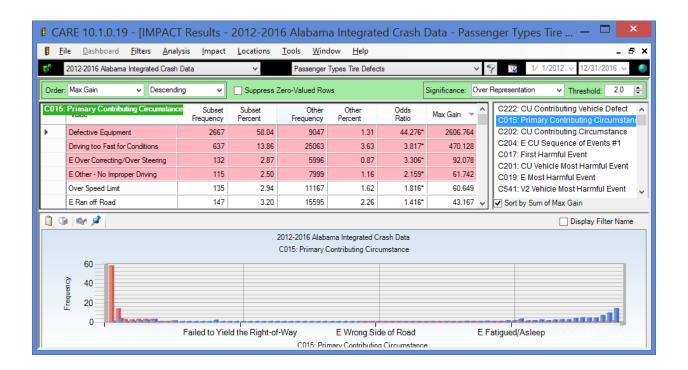
These two tire issues account for almost 80% of the fatalities, and the next highest (brakes) had only about 13%, with the remaining being distributed one-each among the other attribute values. It is clear that tire issues are head and shoulders over all other vehicle defect issues when it comes to passenger car fatalities.

This being the case, a subset was formed of the 4,595 defective tire cases in an effort to flush out the demographics and focus in on the source of these problems. The following gives a summary by severity as compared to all other crashes that occurred in the five year period.

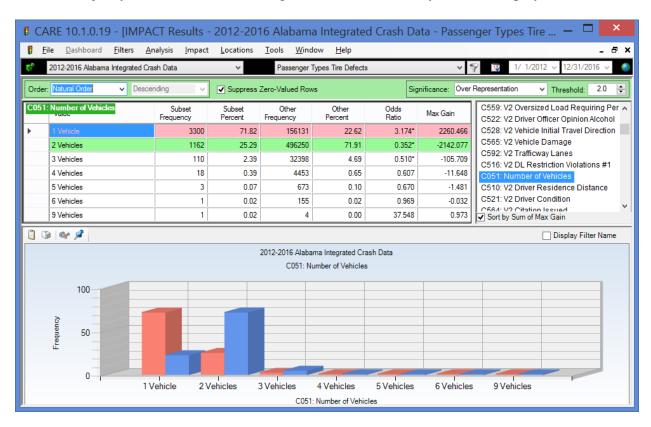


Notice that the proportion of Fatal Injury for these crashes is close to double what it is for all other crashes. The two highest injury categories are also over-represented by about 60% higher than expectation (58.8% and 66.3%). Thus, we can conclude that these are not minor problems, and something should probably be done to address them, if nothing other than a PSA.

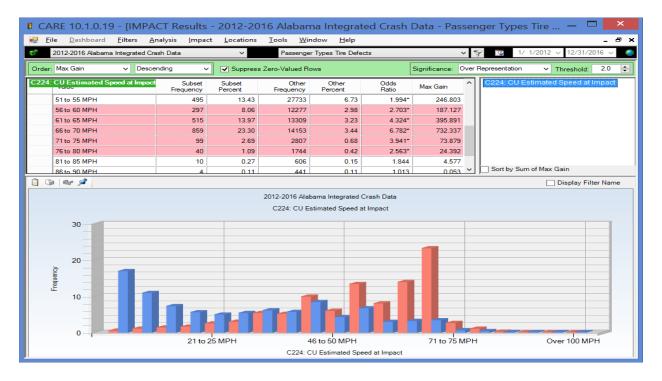
The following comparison for Primary Contributing Circumstances indicates that tire problems are usually coupled with speed in order to create the crash problem.

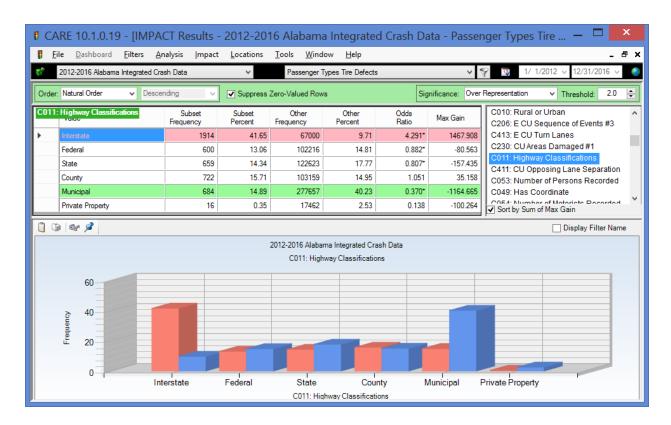


The vast majority of these crashes are single vehicle, as shown by the next display.



Impact speed for these crashes is relatively high, further reinforcing the speed cofactor, and as would be expected, they occur more in open country (rural areas).





County roads are about as expected perhaps because it is difficult to travel these roads at too high a rate of speed. Federal and State roads are under-represented in favor of Interstates.

The causal unit model year follows about the same as given above, with the older vehicles (up to 2004 for this subset) being over-represented. Driver ages that are over-represented are those from 18-30 years if age. Wet roadways have about twice their expected number of crashes (we suspect that further analysis will show this coming from the low tread tires as opposed to the blowouts). Males are over-represented by about 24% higher proportion than expected. While day of the week is close to the DUI pattern (over-representations on weekend days), the time of day favors the two or three hours before the typical rush hours.

4.0 Discussion on Potential Inspection System for Alabama

Most of the promotion of vehicle inspection systems within the states has been conducted in isolation. If you consider the loss of one life and multiply it over the five year death toll of 68 fatal crashes (about 14 per year), the cost of implementing a vehicle inspection system is indeed costbeneficial. What is not considered, however, is the downside of such an expenditure. Please see general considerations for traffic safety investments that is given here in the left panel under the title of "Optimal Traffic Safety Allocation" linked to here:

http://www.safehomealabama.gov/SafetyTopics/GeneralTrafficSafety.aspx

The failure is one of not seeing the effect that saving these 14 lives per year is going to have on failing to save even more of the average of 895 fatalities per year over the last five years. Advocates (in all areas) often fail to see the downside of their actions, and as a result, traffic safety resources will not produce the maximum savings of fatalities. Most traffic safety countermeasures have several downsides, but one that is always present is the zero sum game of the total safety budget, which any given program must deplete.

So, for example, if a given countermeasure costs \$100,000 per year (for example) these dollars will have to come from other traffic safety programs. It is not a matter of going to the general fund; and even if it were, the same argument could be made, that this \$100k should go to a countermeasure that has a higher benefit to cost ratio.

The cost of most countermeasures is fairly easy to obtain; however, the benefits that any one of them will produce is highly speculative, and we must turn to the traffic safety professionals to estimate these benefits. There are a number of resources to this effect available from NHTSA (e.g., *Countermeasure that Work*; https://www.nhtsa.gov/sites/nhtsa.dot.gov/files/812239_countermeasures_8thed_tt.pdf).

Few studies of effectiveness have been performed for vehicle inspection systems for a variety of reasons. But the major issue is in isolating the number of crashes that will be reduced by implementing the program. "The program" itself is not at all defined, since there are variations in every state that has implemented a program. So it is impossible to aggregate the results.

Please review the findings above. Recognize that large truck inspections are handled already by FMCSA mandates. In essence, they already have an inspection system. So, we are mainly talking about the second half of the findings given above – those for passenger cars. The major problem with passenger cars was found to be tires. Further analyses can and should be done if programs to address this problem are to be developed. This can easily generate the target groups and the demographics that should be employed to develop the most effective program.

The big question that must be answered: is a full scale inspection system necessary to deal with the issue of car tires. Could one be developed that just concentrated on these issues and perhaps the third item, which was brakes? Would a PI&E program be just as (perhaps more) effective, but at a fraction of the cost? Could tire providers be involved in not repairing tires that are prone to be defective?

We are not prepared to answer these questions at this point; we feel that raising them is sufficient to getting decision-makers thinking in the right direction. We urge decision-makers to consider how many lives might be saved if the cost of implementing an inspection program were to be invested in other more cost-effective countermeasures. We stand ready to provide additional information to help them if they feel that such an effort would be warranted.